

Report of Seminar On

Title: Financial Education and Understanding of Securities Market for The Students

Date: 6-12-2021

Objectives of the Seminar

1. Dissemination of knowledge on future investments on Financial market
2. To Make the students aware about the different methods of investment within the legal framework

Celebrating World Investors week under the aegis of International Organization of Securities Commission IOSCO, SEBI (Securities and exchange market Board of India) and also being the national coordinator, organized a seminar on Financial Education and understanding of Securities market on 6th of December 2021. The students of both 5 Year BALLB and 3 year LLB were invited to the seminar. A total of 30 students participated in the seminar.



Fig1: Students of 5 Year B.A LL.B and 3 Year LL.B along with their Teacher's Representatives

Broadly falling under the category of alternative mode of teaching and imparting life skills, the main aim of the seminar was to impart knowledge on financial matters and making the students aware about the securities market and dissemination of knowledge about the high and lows of the share market etc. It also discussed about the origin of the establishment of SEBI in the year 1992. The purpose behind the establishment of SEBI was to create an environment that facilitates the effective mobilization and allocation of resources through securities market. The SEBI also enforces rules and regulations, policy framework, practices and infrastructure to meet the need of three groups which constitutes the market i.e issue of securities (companies), the investors, and the market intermediaries. It also defined the function of the groups, the issuers, the investors, and the intermediaries. Besides it also made the students aware how the SEBI also safeguard the rights

and interest of the investors and reduce malpractices related to stock exchange. It also deliberated about the working of the stock brokers, share transfer agents, trustees of trust deeds, insider trading, about price rigging due to unnatural cause of price fluctuations etc. It also discussed about the fraudulent and unfair trade practices and how SEBI as a regulatory body promotes fair trading of securities by establishing regulations to protect the rights and interest of the investors by properly guiding them and ensuring the safety of the money invested and code of conduct for intermediaries such as brokers, intermediaries such as brokers, underwriters etc. It also made the students aware about the developmental functions and the initiative taken by SEBI to upgrade the security market with the help of technological innovations like training of the intermediaries of the securities market, introducing of electronic/ internet trading through registered stock brokers, introduction of Demat account etc.



Fig.2: Power point presentation followed by lively interactive session

The power point presentation was followed by lively interactive session where students put queries to the resource person relating to the securities market. The resource person also answered to the queries of the students.

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